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A.W.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Yuji SAWADA, Yasuhisa NAKAMURA, Hisashi SAIGA,  
Masafumi YAMANOUE, Keisuke IWASAKI and Yoshihiro KITAMURA

SERIAL NO.: Not Yet Assigned  
(Divisional of U.S.S.N. 09/530,393, filed April 28, 2000  
[Express Mail Label No. EL180585624US])

FILED: Herewith

FOR: DISPLAY CONTROL DEVICE AND REPRODUCTION DISPLAY  
DEVICE FOR ELECTRONIC BOOKS

3675 U.S. PTO  
09/618698  
07/18/00

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT  
(SUBMISSION AFTER FILING OF AN APPLICATION  
BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE)**

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicant(s) hereby submit(s) an  
Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by  
the Office are listed on PTO-1449, attached hereto.

II. COPIES

- a. \_\_\_\_\_ Submitted herewith is a legible copy of (i) each U.S and foreign  
patent; (ii) each publication or that portion which caused it to be  
listed; and (iii) all other information or that portion which caused  
it to be listed.
- b.  X  Each of the patents, publications or other information listed on  
the attached PTO-1449 was previously cited by or submitted to  
the USPTO in connection with U.S.S.N.: 09/530,393, to which the  
subject application claims the benefit of the earlier filing date. As  
such, a copy of each is not included herewith pursuant to 37  
C.F.R. § 1.97(d).

III. CONCISE EXPLANATION OF THE RELEVANCE  
(check at least one box)

- a.  X  Except as may be indicated below in (b), all of the patents,  
publications or other information are in the English language or

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were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).

- b. \_\_\_\_\_ A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:

\_\_\_ English translation for reference(s) \_\_\_\_\_.  
\_\_\_ English abstract for reference(s) \_\_\_\_\_.  
\_\_\_ English translation of search report/ official communication.

- c. \_\_\_\_\_ The following additional information is provided for the Examiner's consideration:

\_\_\_ Copy of EPO Search Report.  
\_\_\_ Copy of PCT Search Report.  
\_\_\_ Copy of Official Communication from the \_\_\_\_\_ Patent Office.  
\_\_\_ English translation of search report/ official communication.

#### **FEES**

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)  
(check one box)

- a.   X   within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.
- b. \_\_\_\_\_ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.
- c. \_\_\_\_\_ before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$230.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):  
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- a.   X   before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a

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Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- \_\_\_\_\_ or  
b. \_\_\_\_\_ No certification; therefore, a fee in the amount of \$230.00 is  
required by 37 C.F.R. . § 1.17(p).  
\_\_\_\_\_ or  
c. \_\_\_\_\_ See the certification below. No fee is required.

VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby certifies that

- a. \_\_\_\_\_ each item of information contained in the IDS was cited in a  
communication from a foreign Patent Office in a counterpart  
foreign application not more than three months prior to the filing  
of this IDS; or
- b. \_\_\_\_\_ no item of information contained in the IDS was cited in a  
communication from a foreign Patent Office in a counterpart  
foreign application or, to the best of my knowledge after making  
reasonable inquiry, was known to any individual designated in 37  
C.F.R. § 1.56(c) more than three months prior to the filing of this  
statement.
- c. \_\_\_\_\_ Some of the items of information were cited in a communication  
from a foreign Patent Office. As to this information, the  
undersigned certifies that each item of information contained in  
the IDS was cited in a communication from a foreign Patent Office  
in a counterpart foreign application not more than three months  
prior to the filing of this IDS. As to the remaining information,  
the undersigned hereby certifies that no item of this remaining  
information contained in the IDS was cited in a communication  
from a foreign Patent Office in a counterpart foreign application or,  
to the best of my knowledge after making reasonable inquiry, was  
known to any individual designated in 37 C.F.R. § 1.56(c) more  
than three months prior to the filing of this statement.

VII. FEE PAYMENT (check one)

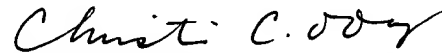
- \_\_\_\_\_ Enclosed please find a check in the amount of \$230.00 for the above-  
indicated fee.
- \_\_\_\_\_ Please charge Deposit Account No. 04-1105 in the amount of \$230.00 for  
the above-indicated fee.
- X \_\_\_\_\_ No fee is required.

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If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. 04-1105.

Respectfully submitted,



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Christine C. O'Day (Reg. 38,256)  
DIKE, BRONSTEIN, ROBERTS & CUSHMAN  
Intellectual Property Group  
Edwards & Angell, LLP  
130 Water Street  
Boston, MA 02109-4280  
(617) 523-3400

Date: July, 2000